# **Robert Bourret**

## Associate

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## **Practices**

FOCUS: Financial Markets and Funds

### **Industries**

Finance and Financial Markets

## **Education**

JD, Duke University School of Law, *cum* laude

BS, Northwestern University, cum laude

#### **Bar Admissions**

Illinois

Robert Bourret provides regulatory and general legal counsel to securities broker-dealers, commodities market participants and other financial services industry participants. He also helps financial industry clients in both corporate and transactional matters, including advising on fund formation, restructurings and joint ventures.

# Helping clients through a diverse background of experience

As the former campaign manager for Congressman Bill Foster, Robert brings a unique work background to assist clients. While in law school, Robert served as the special projects editor of the *Duke Law Journal*, co-authored an article that appeared in the 84th volume of the *Ohio State Law Journal*, and published an article in the Duke University *FinReg* blog.

# **Publications**

- The Lesson of Prometheus A Special Purpose Broker Dealer May Cause Havoc in TradFi by Unilaterally Declaring ETH To Be A Security (March 11, 2024)
- SEC Significantly Broadens "Dealer" Definition (February 23, 2024)
- Financial Markets and Funds Quick Take | Issue 23 (February 2024)
- DeFi's Decentralization Myth | The FinReg blog (April 17, 2023)
- Fractionalizing Investment Securities: Using FinTech to Expand Financial Inclusion | Ohio State Law Journal, Vol. 84 (March 16, 2023)